

TRENCHING, SHORING, AND EXCAVATIONS



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- Shoring, sloping, shield, and excavation will be installed as needed. Shoring equipment will not be subjected to excessive forces and be installed to protect employees from lateral loads.
- Timber shoring or aluminum hydraulic shoring will be determined per appendices A & C of the OSHA standard.
- The devices used will be properly maintained and in good repair. If inspection reveals damage or defect, shoring equipment will be tagged and immediately removed from service.
- Employees will be protected from hazards of falling, rolling, or sliding materials or equipment.
- Personnel are prohibited from being within the shield when installing or removing the shield.
- All shields used will be designed to resist calculated trench forces.

Inspections

- Competent person will conduct an inspection of worksites daily, prior to any employee entering an excavation to ensure that safety measures are in place and proper procedures for safety are being observed. Inspections of the excavation site will be made daily prior to commencement of work, regularly during work operations, in the event an occurrence takes place (such as inclement weather) which might pose a hazard to commencing, and at the cessation of work to ensure barricades and appropriate safety measures are in place before leaving the site.
- In the event an inspection reveals the possibility of a cave-in, failure of a protective system, hazardous atmosphere, or any threat to the safety of personnel, the excavation will immediately be evacuated until corrections are made to the satisfaction of the Project Safety Rep.

Locating Underground Utility Installations

- + (Q W H U S U L V H V / / &. will not excavate a street, highway, p easement of a public utility, or near the location of a public utility facility owned, maintained, or installed on a customer's premises, without having first ascertained the location of all underground facilities of a public or private utility in the proposed area of excavation.
- Upon receiving the information from the public utility, + (Q W H U S U L V H V / / &. will exercise reasonable care when working near the underground utilities. If the utilities are or likely to be exposed, only hand digging will be employed in such

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
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circumstances and any support reasonably necessary for protection of the utilities will be provided on the construction site.

- When any contact with or damage to any pipe, cable, or any other underground utility occurs, **H2 Enterprises, LLC**. will immediately notify the utility company. If an energized electrical cable is severed, an energized conductor is exposed, or dangerous fluids or gases are escaping from a broken line, Safety Rep will evacuate personnel from the immediate area until the utility company representative arrives.
- While an excavation is open, underground utilities will be protected, supported, or removed as necessary to safeguard employees.

4. Surface Encumbrances

- All surface encumbrances such as trees, boulders, rock fragments, or other obstructions whose movement could cause injury to an employee will be removed or supported.
- Excavations that personnel are required to enter will have spoil piles and other material stored and retained not less than 2 feet from the excavation edge.
- When a shoring system is used, the system will be designed and used to resist the added pressure when heavy equipment, material handling equipment, or material is located near an excavation.
- When mobile equipment is utilized or permitted adjacent to an excavation where the operator's vision is restricted, stop logs, barricades, or a signal person will be used.

5. Access and Egress

- Lateral travel along the wall of a trench to a ladder or other means of egress will not exceed 25 feet.
- An excavation four feet or more in depth and occupied by an employee will be provided with either a ladder extending not less than 3 feet above the top as a means of access or with a ramp meeting the following requirements:
- Structural ramps that are used solely by employees as a means of access or egress from excavations will be designed by a competent person. Structural ramps used for access or egress of equipment will be designed by a competent person qualified in structural design, and will be constructed in accordance with the design.
- Ramps and runways constructed of two or more structural members will have the members connected to prevent displacement. Structural members used for ramps and runways will be of uniform thickness.
- Cleats or other appropriate means used to connect runway structural members will be attached to the bottom of the runway or will be attached in a manner to prevent tripping.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
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- Structural ramps used in lieu of steps will be provided with cleats or other surface treatments on the top surface to prevent slipping.
- An earth ramp may be used in place of a ladder if:
 - a. The ramp material will be stable.
 - b. The sides of the excavation above the ramp will be maintained to the maximum allowable slope or sheeted or shored along the means of egress.
 - c. The degree of angle of the ramp will not be more than 45 degrees.
 - d. Vertical height between the floor of the trench and the toe of the ramp will not exceed 30 inches.

6. Exposure to Vehicle Traffic

- Employees exposed to public vehicular traffic will be provided with, and be required to, warning vests or other suitable garments marked with or made of reflectorized or high-visibility material.
- A sidewalk will not be undermined unless it is shored to support a live load of at least 125 pounds per square foot.
- Employees who are routed from a sidewalk or walkway into a roadway to detour excavations will be protected on all sides by regulation guardrails or barricades.
- If an employee or equipment is required or permitted to cross a trench or ditch, a walkway, ramp, or bridge will be provided and will have a designed capacity of not less than 3 times the imposed load. Regulation guardrails will be installed.
- If equipment is routed across or onto a roadway, protection will be provided using regulation signals, signs, or barricades.
- An open cut into a roadway will be provided with a regulation barricade on all sides. Warning lights will be provided during hours of darkness.

7. Walkways

- Walkways will be provided where employees or equipment are required or permitted to cross over excavations. Regulation guardrails will be provided where walkways are 6 feet or more above lower levels.
- A walkway or sidewalk will be kept clear of excavated material and other obstructions.
- The walkways and sidewalks will be lighted if used during hours of darkness.
- A walkway or sidewalk that is adjacent to an excavation will be separated from the excavation and protected by an appropriate guardrail.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
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8. Exposure to Falling Loads

Personnel will not be permitted under loads handled by lifting or digging equipment. Employees will be required to stand away from any vehicle being loaded or unloaded to avoid being struck by any spillage or falling materials. Operators may remain in the cabs of vehicles being loaded or unloaded when the vehicles are equipped with regulation protection for the operator during loading and unloading operations.

9. Mobile Equipment Warning Systems

When mobile equipment is operated adjacent to an excavation, or when such equipment is required to approach the edge of an excavation, and the operator does not have a clear and direct view of the edge of the excavation, a warning system will be utilized such as barricades, hand or mechanical signals, or stop logs. If possible, the grade should be away from the excavation.

10. Hazardous Atmospheres

To prevent exposure to hazardous atmospheres and to assure acceptable breathing conditions, all the following requirements will apply:

- Where an oxygen deficiency (an atmosphere that contains less than 19.5% oxygen) or a hazardous atmosphere exists, such as in excavations in areas where hazardous substances are stored nearby, the atmosphere in the excavation will be tested before employees enter excavations that are more than 4 feet deep.
- Precautions will be taken to prevent employee exposure to atmospheres that contain less than 19.5% oxygen and any other hazardous atmosphere. These precautions include providing regulation respiratory protection or ventilation.
- Precautions will be taken, such as providing ventilation, to prevent employee exposure to an atmosphere that contains a concentration of a flammable gas more than 20% of the lower flammable limit of the gas.
- When using, controls intending to reduce levels of atmospheric contaminants to acceptable PEL, testing will be conducted as often as necessary to ensure that breathing air remains safe.
- Emergency rescue equipment, such as breathing apparatus, safety harness and line, or a basket stretcher, will be readily available where hazardous atmospheric conditions exist or could develop during work in an excavation. This equipment will be attended when in use.
- Employees entering bell-bottom pier holes, or other similar deep and confined footing excavations, will wear a harness with a lifeline securely attached to it. The lifeline will

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018

be separate from any line used to handle materials, and will be individually attended always while employee wearing it is in the excavation.

11. Protection from Water Accumulation Hazards

- Employees will not work in excavations where water has or is accumulating, unless adequate precautions have been taken to protect employees against the hazards posed by such water accumulation. Precautions necessary to protect employees vary with each situation and will include special support or shield systems to protect from cave-ins, water removal to control the level of accumulating water, or use of a safety harness and lifeline.
- If water is controlled or prevented from accumulating using water pumps, the pumping equipment and operations will be monitored by a competent person to ensure proper operation.
- If excavation work interrupts the natural drainage of surface water (such as streams), diversion ditches, dikes, or other suitable means will be used to prevent surface water from entering the excavation and to provide adequate drainage of the area adjacent to the excavation. Excavations subject to runoff from heavy rains will require an inspection by a competent person.
- An ongoing inspection of an excavation or trench will be made by a qualified person. After every rainstorm or other hazard-producing occurrence, an inspection will be made by a competent person for evidence of possible slides or cave-ins. Where these conditions are found, all work will cease until additional precautions, such as additional shoring or reducing the slope, have been accomplished.

12. Stability of Adjacent Structures

- Excavation below the level of the base or footing of any foundation or retaining wall that could be reasonably expected to pose a hazard to employees will not be permitted except when:
- A support system, such as shoring, bracing, or underpinning, is provided to ensure the safety of employees and the stability of the structure.
- The excavation is in stable rock.
- A registered professional engineer has approved the determination that the structure is sufficiently removed from the excavation to be unaffected by the excavation activity or that such excavation work will not pose a hazard to employees.
- Sidewalks, pavements, and appurtenant structure will not be undermined unless a support system or another method of protection is provided to protect employees from the possible collapse of such structures.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018

- The shoring, bracing, and underpinning will be inspected daily or more often, as conditions warrant, by a competent person.

13. Employee Protection from Loose Rock or Soils

- Adequate protection will be provided to protect employees from loose rock or soil that could pose a hazard by falling or rolling from an excavation face. Such protection will consist of scaling to remove loose material; installation of protective barricades at intervals as necessary on the face to stop and contain falling material or other means that provide equivalent protection.
- Employees will be protected from excavated or other materials or equipment that could pose a hazard by falling or rolling into excavations. Protection will be provided by placing and keeping such materials or equipment at least 2 feet from the edge of excavations, or using retaining devices that are sufficient to prevent materials or equipment from falling or rolling into excavations, or by a combination of both if necessary.
- If different textured soils are encountered in the side of an excavation, each soil type of the excavation will be cut to the proper maximum allowable slope, except that the slope will not steepen between the toe of the slope and the ground level where soft clay or running soil is encountered in the lower cut.
- If the excavation is a trench, a trench shoring system will be used or the sides will be properly benched or sloped to protect against a cave-in.
- An excavation that is cut into a rock formation will be scaled to remove loose material.
- When installed forms, walls, or similar structures create a trench between the form, wall, or structure and the side of the excavation, it will be treated as a trench.

14. General Classification of Soil and Rock Deposits

- Each soil and rock deposit will be classified by a competent person as Stable Rock, Type A, Type B, or Type C in accordance with OSHA definitions.
- The classification of the deposits will be made based on the results of at least one visual and at least one manual analysis. Such analyses will be conducted by a competent person using approved methods of soil classification and testing.
- The visual and manual analyses will be designed and conducted to provide sufficient quantitative and qualitative information as may be necessary to identify properly the properties, factors, and conditions affecting the classification of the deposits.
- Layered systems will be classified per its weakest layer. However, each layer may be classified individually where a more stable layer lies under a less stable layer.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018

- If after classifying a deposit, the properties, factors, or conditions affecting its classification change in any way, the changes will be evaluated by a competent person. The deposit will be reclassified as necessary to reflect the changed circumstances.

15. Protection of Employees in Excavations

- Employees in an excavation will be protected from cave-ins by an adequate protective system designed in accordance with OSHA requirements, except when:
 - Excavations are made entirely in stable rock.
 - Excavations are less than 5 feet deep and examination of the ground by a competent person provides no indication of a potential cave-in.
- Protective systems will have the capacity to resist without failure all loads that are intended or could reasonably be expected to be applied or transmitted to the system.
- The slopes and configurations of sloping and benching systems will be selected and constructed by **H2 Enterprises, LLC.** and will be in accordance with OSHA requirements, or the following alternative options:
 - Option 1 - Allowable configurations and slopes.
 - Excavations will be sloped at an angle not steeper than one and one-half horizontal to one vertical (34 degrees measured from the horizontal), unless **Milestone Companies, LLC.** uses one of the other options listed below.
 - Specified slopes will be excavated to form configurations that are in accordance with the slopes shown for Type C soil.

Option 2 - Maximum allowable slopes, and allowable configurations for sloping and benching systems, will be determined in accordance with the conditions and requirements set forth in §1926 Subpart P - Appendices A and B.

Option 3 - Designs using other tabulated data.

- Designs of sloping or benching systems will be selected from and in accordance with tabulated data, such as tables and charts.
- The tabulated data will be in written form and will include all the following:
 - a. Identification of the parameters that affect the selection of a sloping or benching system drawn from such data.
 - b. Identification of the limits of use of the data, to include the magnitude and configuration of slopes determined to be safe.
 - c. Explanatory information as may be necessary to aid the user in making a correct selection of a protective system from the data.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018

- At least one copy of the tabulated data which identifies the registered professional engineer who approved the data, will be maintained at the jobsite during construction of the protective system. After that time the data may be stored off the jobsite, but a copy of the data will be made available to OSHA upon request.

Option 4 - Design by a registered professional engineer.

- Sloping and benching systems not utilizing previous Options 1, 2, or 3 will be approved by a registered professional engineer.
- Designs will be in written form and will include at least the following:
 - a. The magnitude of the slopes that were determined to be safe for the project.
 - b. The configurations that were determined to be safe for the project.
 - c. the identity of the registered professional engineer approving the design.
- At least one copy of the design will be maintained at the jobsite while the slope is being constructed. After that time the design need not be at the jobsite, but a copy will be made available to OSHA upon request.

16. Design of Support Systems

- Designs of support systems, shield systems, and other protective systems will be selected and constructed by **H2 Enterprises, LLC**. and will be in accordance with OSHA requirements., or the following alternative options:

Option 1 Designs for timber shoring in trenches will be determined in accordance with the conditions and requirements set forth in §1926 Subpart P - Appendices A and C. Designs for aluminum hydraulic shoring will be in accordance with Option 2 below, but if manufacturer's tabulated data cannot be utilized, designs will be in accordance with Appendix D.

Option 2 - Designs Using Manufacturer's Tabulated Data.

- Design of support systems, shield systems, or other protective systems that are drawn from manufacturer's tabulated data will be in accordance with all specifications, recommendations, and limitations issued or made by the manufacturer.
- Deviation from the specifications, recommendations, and limitations issued or made by the manufacturer will only be allowed after the manufacturer issues specific written approval.
- Manufacturer's specifications, recommendations, and limitations, and manufacturer's approval to deviate from the specifications, recommendations, and limitations will be in written form at the jobsite during construction of the

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018

protective system. After that time this data may be stored off the jobsite, but a copy will be made available to the Secretary upon request.

Option 3 - Designs using other tabulated data.

- Designs of support systems, shield systems, or other protective systems will be selected from and be in accordance with tabulated data, such as tables and charts.
- The tabulated data will be in written form and include all the following:
 - a. Identification of the parameters that affect the selection of a protective system drawn from such data.
 - b. Identification of the limits of use of the data.
 - c. Explanatory information as may be necessary to aid the user in making a correct selection of a protective system from the data.
- At least one copy of the tabulated data, which identifies the registered professional engineer who approved the data, will be maintained at the jobsite during construction of the protective system. After that time the data may be stored off the jobsite, but a copy of the data will be made available to OSHA upon request.

Option 4 - Design by a registered professional engineer.

- Support systems, shield systems, and other protective systems not utilizing previous Options 1, 2, or 3 will be approved by a registered professional engineer.
- Designs will be in written form and will include the following:
 - a. A plan indicating the sizes, types, and configurations of the materials to be used in the protective system.
 - b. The identity of the registered professional engineer approving the design.
- At least one copy of the design will be maintained at the jobsite during construction of the protective system. After that time, the design may be stored off the jobsite, but a copy of the design will be made available to OSHA upon request.

17. Protective Systems Materials and Equipment.

- Materials and equipment used for protective systems will be free from damage or defects that might impair their proper function.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type: **Program**

Effective Date: **5/1/2017**

Section: **34**

Revision Number: **01**

Status: **Issued**

Last Revised: **2/28/2018**

- Manufactured materials and equipment used for protective systems will be used and maintained in a manner that is consistent with the recommendations of the manufacturer, and in a manner, that will prevent employee exposure to hazards.
- When equipment used for protective systems is damaged, a competent person will examine the equipment and evaluate its suitability for continued use. If the competent person cannot assure the equipment can support the intended loads or is otherwise suitable for safe use, then equipment will be removed from service be evaluated and approved by a registered professional engineer before being returned to service.
- General installation and removal of support systems:
 - Members of support systems will be securely connected to prevent sliding, falling, kick outs, or other predictable failure.
 - Support systems will be installed and removed in a manner that protects employees from cave-ins, structural collapses, or from being struck by members of the support system.
 - Individual members of support systems will not be subjected to loads exceeding those which those members were designed to withstand.
 - Excavation of material to a level no greater than 2 feet below the bottom of the members of a support system will be permitted, but only if the system is designed to resist the forces calculated for the full depth of the trench, and there are no indications while the trench is open of a possible loss of soil from behind or below the bottom of the support system.
 - Installation of a support system will be closely coordinated with the excavation of trenches.
 - Before temporary removal of individual members begins, additional precautions will be taken to ensure the safety of employees, such as installing other structural members to carry the loads imposed on the support system.
 - Removal will begin at, and progress from, the bottom of the excavation. Members will be released slowly to note any indication of possible failure of the remaining members of the structure or possible cave-in of the sides of the excavation.
 - Backfilling will progress together with the removal of support systems from excavations.
 - Employees will not be permitted to work on the faces of sloped or benched excavations at levels above other employees except when employees at the lower levels are adequately protected from the hazard of falling, rolling, or sliding material or equipment.
- General shield systems requirements:
 - Shield systems will not be subjected to loads exceeding those which the system was designed to withstand.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018

- Shields will be installed in a manner to restrict lateral or other hazardous movement of the shield in the event of the application of sudden lateral loads.
- Employees will be protected from the hazard of cave-ins when entering or exiting the areas protected by shields.
- Employees will not be allowed in shields when shields are being installed, removed, or moved vertically.
- Excavations of earth material to a level not greater than 2 feet below the bottom of a shield will be permitted, but only if the shield is designed to resist the forces calculated for the full depth of the trench, and there are no indications while the trench is open of a possible loss of soil from behind or below the bottom of the shield.
- Regulation guardrails or barricades will be provided at all remotely located excavations. All wells, pits, and shafts, temporary or otherwise, will be barricaded or covered. Temporary wells, pits, and shafts will be backfilled when exploration and similar operations are completed.

In addition to the above requirements, Company Safety Policy regarding excavation operations shall adhere to the requirements of the following OSHA regulations:

§1926.651- SPECIFIC EXCAVATION REQUIREMENTS.

- (a) Surface encumbrances. All surface encumbrances that are located to create a hazard to employees shall be removed or supported, as necessary, to safeguard employees.
- (b) Underground installations.
 - (1) The estimated location of utility installations, such as sewer, telephone, fuel, electric, water lines, or any other underground installations that reasonably may be expected to be encountered during excavation work, shall be determined prior to opening an excavation.
 - (2) Utility owners or companies shall be contacted within established or customary local response times, advised of the proposed work, and asked to establish the location of the utility underground installations prior to the start of actual excavation. When utility owners or companies cannot respond to a request to locate underground utility installations within 24 hours (unless a longer period is required by state or local law), or cannot establish the exact location of these installations, the employer may proceed, provided the employer does so with caution, and provided detection equipment or other acceptable means to locate utility installations are used.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018

- (3) When excavation operations approach the estimated location of underground installations, the exact location of the installations shall be determined by safe and acceptable means.
- (4) While the excavation is open, underground installations shall be protected, supported or removed as necessary to safeguard employees.
- (c) Access and egress.
 - (1) Structural ramps.
 - (i) Structural ramps that are used solely by employees as a means of access or egress from excavations shall be designed by a competent person. Structural ramps used for access or egress of equipment shall be designed by a competent person qualified in structural design, and shall be constructed in accordance with the design.
 - (ii) Ramps and runways constructed of two or more structural members shall have the structural members connected to prevent displacement.
 - (iii) Structural members used for ramps and runways shall be of uniform thickness.
 - (iv) Cleats or other appropriate means used to connect runway structural members shall be attached to the bottom of the runway or shall be attached in a manner to prevent tripping.
 - (v) Structural ramps used in lieu of steps shall be provided with cleats or other surface treatments on the top surface to prevent slipping.
 - (2) Means of egress from trench excavations. A stairway, ladder, ramp or other safe means of egress shall be in trench excavations that are 4 feet (1.22 m) or more in depth to require no more than 25 feet (7.62 m) of lateral travel for employees.
- (d) Exposure to vehicular traffic. Employees exposed to public vehicular traffic shall be provided with, and shall wear, warning vests or other suitable garments marked with or made of reflectorized or high-visibility material.
- (e) Exposure to falling loads. No employee shall be permitted underneath loads handled by lifting or digging equipment. Employees shall be required to stand away from any vehicle being loaded or unloaded to avoid being struck by any spillage or falling materials. Operators may remain in the cabs of vehicles being loaded or unloaded when the vehicles are equipped, in accordance with §1926.601(b)(6), to provide adequate protection for the operator during loading and unloading operations.
- (f) Warning system for mobile equipment. When mobile equipment is operated adjacent to an excavation, or when such equipment is required to approach the edge of an excavation, and the operator does not have a clear and direct view of the edge of the excavation, a warning system shall be utilized such as barricades, hand or mechanical signals, or stop logs. If possible, the grade should be away from the excavation.

TRENCHING, SHORING, AND EXCAVATIONS



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Section: **34**

Revision Number: **01**

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Last Revised: **2/28/2018**

(g) Hazardous atmospheres.

(1) Testing and controls. In addition to the requirements set forth in subparts D and E of this part (29 CFR 1926.50-1926.107) to prevent exposure to harmful levels of atmospheric contaminants and to assure acceptable atmospheric conditions, the following requirements shall apply:

(i) Where oxygen deficiency (atmospheres containing less than 19.5 percent oxygen) or a hazardous atmosphere exists or could reasonably be expected to exist, such as in excavations in landfill areas or excavations in areas where hazardous substances are stored nearby, the atmospheres in the excavation shall be tested before employees enter excavations greater than 4 feet (1.22 m) in depth.

(ii) Adequate precautions shall be taken to prevent employee exposure to atmospheres containing less than 19.5 percent oxygen and other hazardous atmospheres. These precautions include providing proper respiratory protection or ventilation in accordance with subparts D and E of this part respectively.

(iii) Adequate precaution shall be taken such as providing ventilation, to prevent employee exposure to an atmosphere containing a concentration of a flammable gas more than 20 percent of the lower flammable limit of the gas.

(iv) When controls are used that are intended to reduce the level of atmospheric contaminants to acceptable levels, testing shall be conducted as often as necessary to ensure that the atmosphere remains safe.

(2) Emergency rescue equipment.

(i) Emergency rescue equipment, such as breathing apparatus, a safety harness and line, or a basket stretcher, shall be readily available where hazardous atmospheric conditions exist or may reasonably be expected to develop during work in an excavation. This equipment shall be attended when in use.

(ii) Employees entering bell-bottom pier holes, or other similar deep and confined footing excavations, shall wear a harness with a life-line securely attached to it. The lifeline shall be separate from any line used to handle materials, and shall be individually attended always while the employee wearing the lifeline is in the excavation.

(h) Protection from hazards associated with water accumulation.

(1) Employees shall not work in excavations in which there is accumulated water, or in excavations in which water is accumulating, unless adequate precautions have been taken to protect employees against the hazards posed by water accumulation. The precautions necessary to protect employees adequately vary with each situation, but could include special support or shield systems to protect

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018

from cave-ins, water removal to control the level of accumulating water, or use of a safety harness and lifeline.

- (2) If water is controlled or prevented from accumulating using water removal equipment, the water removal equipment and operations shall be monitored by a competent person to ensure proper operation.
- (3) If excavation work interrupts the natural drainage of surface water (such as streams), diversion ditches, dikes, or other suitable means shall be used to prevent surface water from entering the excavation and to provide adequate drainage of the area adjacent to the excavation. Excavations subject to runoff from heavy rains will require an inspection by a competent person and compliance with paragraphs (k)(1) and (k)(2) of this section.
 - (i) Stability of adjacent structures.
 - (1) Where the stability of adjoining buildings, walls, or other structures is endangered by excavation operations, support systems such as shoring, bracing, or underpinning shall be provided to ensure the stability of such structures for the protection of employees.
 - (2) Excavation below the level of the base or footing of any foundation or retaining wall that could be reasonably expected to pose a hazard to employees shall not be permitted except when:
 - (i) A support system, such as underpinning, is provided to ensure the safety of employees and the stability of the structure; or
 - (ii) The excavation is in stable rock; or
 - (iii) A registered professional engineer has approved the determination that the structure is sufficiently removed from the excavation to be unaffected by the excavation activity; or
 - (iv) A registered professional engineer has approved the determination that such excavation work will not pose a hazard to employees.
 - (3) Sidewalks, pavements, and appurtenant structure shall not be undermined unless a support system or another method of protection is provided to protect employees from the possible collapse of such structures
 - (j) Protection of employees from loose rock or soil.
 - (1) Adequate protection shall be provided to protect employees from loose rock or soil that could pose a hazard by falling or rolling from an excavation face. Such protection shall consist of scaling to remove loose material; installation of protective barricades at intervals as necessary on the face to stop and contain falling material; or other means that provide equivalent protection.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type: **Program**

Effective Date: **5/1/2017**

Section: **34**

Revision Number: **01**

Status: **Issued**

Last Revised: **2/28/2018**

(2) Employees shall be protected from excavated or other materials or equipment that could pose a hazard by falling or rolling into excavations. Protection shall be provided by placing and keeping such materials or equipment at least 2 feet (.61 m) from the edge of excavations, or using retaining devices that are sufficient to prevent materials or equipment from falling or rolling into excavations, or by a combination of both if necessary.

(k) Inspections.

(1) Daily inspections of excavations, the adjacent areas, and protective systems shall be made by a competent person for evidence of a situation that could result in possible cave-ins, indications of failure of protective systems, hazardous atmospheres, or other hazardous conditions. An inspection shall be conducted by the competent person prior to the start of work and as needed throughout the shift. Inspections shall also be made after every rainstorm or other hazard increasing occurrence. These inspections are only required when employee exposure can be reasonably anticipated.

(2) Where the competent person finds evidence of a situation that could result in a possible cave-in, indications of failure of protective systems, hazardous atmospheres, or other hazardous conditions, exposed employees shall be removed from the hazardous area until the necessary precautions have been taken to ensure their safety.

(l) Fall protection.

(1) Walkways shall be provided where employees or equipment are required or permitted to cross over excavations. Guardrails which comply with §1926.502(b) shall be provided where walkways are 6 feet (1.8 m) or more above lower levels.

(2) Adequate barrier physical protection shall be provided at all remotely located excavations. All wells, pits, shafts, etc., shall be barricaded or covered. Upon completion of exploration and similar operations, temporary wells, pits, shafts, etc., shall be backfilled.

§1926.652 REQUIREMENTS FOR PROTECTIVE SYSTEMS.

(a) Protection of employees in excavations.

(1) Each employee in an excavation shall be protected from cave-ins by an adequate protective system designed in accordance with paragraph (b) or (c) of this section except when:

(i) Excavations are made entirely in stable rock; or

(ii) Excavations are less than 5 feet (1.52 m) in depth and examination of the ground by a competent person provides no indication of a potential cave-in.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type: **Program**

Effective Date: **5/1/2017**

Section: **34**

Revision Number: **01**

Status: **Issued**

Last Revised: **2/28/2018**

(2) Protective systems shall have the capacity to resist without failure all loads that are intended or could reasonably be expected to be applied or transmitted to the system.

(b) Design of sloping and benching systems. The slopes and configurations of sloping and benching systems shall be selected and constructed by the employer or his designee and shall be in accordance with the requirements of paragraph (b)(1); or, in the alternative, paragraph (b)(2); or, in the alternative, paragraph (b)(3), or, in the alternative, paragraph (b)(4), as follows:

(1) Option (1) - Allowable configurations and slopes.

(i) Excavations shall be sloped at an angle not steeper than one and one-half horizontal to one vertical (34 degrees measured from the horizontal), unless the employer uses one of the other options listed below.

(ii) Slopes specified in paragraph (b)(1)(i) of this section, shall be excavated to form configurations that are in accordance with the slopes shown for Type C soil in Appendix B to this subpart.

(2) Option (2) - Determination of slopes and configurations using Appendices A and B. Maximum allowable slopes, and allowable configurations for sloping and benching systems, shall be determined in accordance with the conditions and requirements set forth in Appendices A and B to this subpart.

(3) Option (3) - Designs using other tabulated data.

(i) Designs of sloping or benching systems shall be selected from and be in accordance with tabulated data, such as tables and charts.

(ii) The tabulated data shall be in written form and shall include all the following:

(A) Identification of the parameters that affect the selection of a sloping or benching system drawn from such data;

(B) Identification of the limits of use of the data, to include the magnitude and configuration of slopes determined to be safe;

(C) Explanatory information as may be necessary to aid the user in making a correct selection of a protective system from the data.

(iii) At least one copy of the tabulated data which identifies the registered professional engineer who approved the data, shall be maintained at the jobsite during construction of the protective system. After that time the data may be stored off the jobsite, but a copy of the data shall be made available to the Secretary upon request.

(4) Option (4) - Design by a registered professional engineer.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018

- (i) Sloping and benching systems not utilizing Option (1) or Option (2) or Option (3) under paragraph (b) of this section shall be approved by a registered professional engineer.
 - (ii) Designs shall be in written form and shall include at least the following:
 - (A) The magnitude of the slopes that were determined to be safe for the project;
 - (B) The configurations that were determined to be safe for the project; and
 - (C) The identity of the registered professional engineer approving the design.
 - (iii) At least one copy of the design shall be maintained at the jobsite while the slope is being constructed. After that time the design need not be at the jobsite, but a copy shall be made available to the Secretary upon request.
- (c) Design of support systems, shield systems, and other protective systems. Designs of support systems shield systems, and other protective systems shall be selected and constructed by the employer or his designee and shall be in accordance with the requirements of paragraph (c)(1); or, in the alternative, paragraph (c)(2); or, in the alternative, paragraph (c)(3); or, in the alternative, paragraph (c)(4) as follows:
- (1) Option (1) - Designs using Appendices A, C and D. Designs for timber shoring in trenches shall be determined in accordance with the conditions and requirements set forth in Appendices A and C to this subpart. Designs for aluminum hydraulic shoring shall be in accordance with paragraph (c)(2) of this section, but if manufacturer's tabulated data cannot be utilized, designs shall be in accordance with Appendix D.
 - (2) Option (2) - Designs Using Manufacturer's Tabulated Data.
 - (i) Design of support systems, shield systems, or other protective systems that are drawn from manufacturer's tabulated data shall be in accordance with all specifications, recommendations, and limitations issued or made by the manufacturer.
 - (ii) Deviation from the specifications, recommendations, and limitations issued or made by the manufacturer shall only be allowed after the manufacturer issues specific written approval.
 - (iii) Manufacturer's specifications, recommendations, and limitations, and manufacturer's approval to deviate from the specifications, recommendations, and limitations shall be in written form at the jobsite during construction of the protective system. After that time this data may be stored off the jobsite, but a copy shall be made available to the Secretary upon request.
 - (3) Option (3) - Designs using other tabulated data.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018

- (i) Designs of support systems, shield systems, or other protective systems shall be selected from and be in accordance with tabulated data, such as tables and charts.
- (ii) The tabulated data shall be in written form and include all the following:
 - (A) Identification of the parameters that affect the selection of a protective system drawn from such data;
 - (B) Identification of the limits of use of the data;
 - (C) Explanatory information as may be necessary to aid the user in making a correct selection of a protective system from the data.
- (iii) At least one copy of the tabulated data, which identifies the registered professional engineer who approved the data, shall be maintained at the jobsite during construction of the protective system. After that time the data may be stored off the jobsite, but a copy of the data shall be made available to the Secretary upon request.
- (4) Option (4) - Design by a registered professional engineer.
 - (i) Support systems, shield systems, and other protective systems not utilizing Option 1, Option 2 or Option 3, above, shall be approved by a registered professional engineer.
 - (ii) Designs shall be in written form and shall include the following:
 - (A) A plan indicating the sizes, types, and configurations of the materials to be used in the protective system; and
 - (B) The identity of the registered professional engineer approving the design.
 - (iii) At least one copy of the design shall be maintained at the jobsite during construction of the protective system. After that time, the design may be stored off the jobsite, but a copy of the design shall be made available to the Secretary upon request.
- (d) Materials and equipment.
 - (1) Materials and equipment used for protective systems shall be free from damage or defects that might impair their proper function.
 - (2) Manufactured materials and equipment used for protective systems shall be used and maintained in a manner that is consistent with the recommendations of the manufacturer, and in a manner, that will prevent employee exposure to hazards.
 - (3) When material or equipment that is used for protective systems is damaged, a competent person shall examine the material or equipment and evaluate its suitability for continued use. If the competent person cannot assure the material or equipment can support the intended loads or is otherwise suitable for safe use,

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type: **Program**

Effective Date: **5/1/2017**

Section: **34**

Revision Number: **01**

Status: **Issued**

Last Revised: **2/28/2018**

then such material or equipment shall be removed from service, and shall be evaluated and approved by a registered professional engineer before being returned to service.

(e) Installation and removal of support.

(1) General.

- (i) Members of support systems shall be securely connected to prevent sliding, falling, kick outs, or other predictable failure.
- (ii) Support systems shall be installed and removed in a manner that protects employees from cave-ins, structural collapses, or from being struck by members of the support system.
- (iii) Individual members of support systems shall not be subjected to loads exceeding those which those members were designed to withstand.
- (iv) Before temporary removal of individual members begins, additional precautions shall be taken to ensure the safety of employees, such as installing other structural members to carry the loads imposed on the support system.
- (v) Removal shall begin at, and progress from, the bottom of the excavation. Members shall be released slowly to note any indication of possible failure of the remaining members of the structure or possible cave-in of the sides of the excavation.
- (vi) Backfilling shall progress together with the removal of support systems from excavations.

(2) Additional requirements for support systems for trench excavations.

- (i) Excavation of material to a level no greater than 2 feet (.61 m) below the bottom of the members of a support system shall be permitted, but only if the system is designed to resist the forces calculated for the full depth of the trench, and there are no indications while the trench is open of a possible loss of soil from behind or below the bottom of the support system.
- (ii) Installation of a support system shall be closely coordinated with the excavation of trenches.

(f) Sloping and benching systems. Employees shall not be permitted to work on the faces of sloped or benched excavations at levels above other employees except when employees at the lower levels are adequately protected from the hazard of falling, rolling, or sliding material or equipment.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type: **Program**

Effective Date: **5/1/2017**

Section: **34**

Revision Number: **01**

Status: **Issued**

Last Revised: **2/28/2018**

(g) Shield systems.

(1) General.

- (i) Shield systems shall not be subjected to loads exceeding those which the system was designed to withstand.
- (ii) Shields shall be installed in a manner to restrict lateral or other hazardous movement of the shield in the event of the application of sudden lateral loads.
- (iii) Employees shall be protected from the hazard of cave-ins when entering or exiting the areas protected by shields.
- (iv) Employees shall not be allowed in shields when shields are being installed, removed, or moved vertically.

- (2) Additional requirement for shield systems used in trench excavations. Excavations of earth material to a level not greater than 2 feet (.61 m) below the bottom of a shield shall be permitted, but only if the shield is designed to resist the forces calculated for the full depth of the trench, and there are no indications while the trench is open of a possible loss of soil from behind or below the bottom of the shield.

Appendix A to Subpart P

SOIL CLASSIFICATION

(a) Scope and application.

- (1) Scope. This appendix describes a method of classifying soil and rock deposits based on site and environmental conditions, and on the structure and composition of the earth deposits. The appendix contains definitions, sets forth requirements, and describes acceptable visual and manual tests for use in classifying soils.
- (2) Application. This appendix applies when a sloping or benching system is designed in accordance with the requirements set forth in §1926.652(b)(2) as a method of protection for employees from cave-ins. This appendix also applies when timber shoring for excavations is designed as a method of protection from cave-ins in accordance with appendix C to subpart P of part 1926, and when aluminum hydraulic shoring is designed in accordance with appendix D. This Appendix also applies if other protective systems are designed and selected for use from data prepared in accordance with the requirements set forth in §1926.652(c), and the use of the data is predicated on the use of the soil classification system set forth in this appendix.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018

- (b) Definitions. The definitions and examples given below are based on, in whole or in part, the following: American Society for Testing Materials (ASTM) Standards D653-85 and D2488; The Unified Soils Classification System, The U.S. Department of Agriculture (USDA) Textural Classification Scheme; and The National Bureau of Standards Report BSS-121.

Cemented soil means a soil in which the particles are held together by a chemical agent, such as calcium carbonate, such that a hand-size sample cannot be crushed into powder or individual soil particles by finger pressure.

Cohesive soil means clay (fine grained soil), or soil with a high clay content, which has cohesive strength. Cohesive soil does not crumble, can be excavated with vertical side slopes, and is plastic when moist. Cohesive soil is hard to break up when dry, and exhibits significant cohesion when submerged. Cohesive soils include clayey silt, sandy clay, silty clay, clay and organic clay.

Dry soil means soil that does not exhibit visible signs of moisture content.

Fissured means a soil material that tends to break along definite planes of fracture with little resistance, or a material that exhibits open cracks, such as tension cracks, in an exposed surface.

Layered system means two or more distinctly different soil or rock types arranged in layers. Micaceous seams or weakened planes in rock or shale are considered layered.

Granular soil means gravel, sand, or silt, (coarse grained soil) with little or no clay content. Granular soil has no cohesive strength. Some moist granular soils exhibit apparent cohesion. Granular soil cannot be molded when moist and crumbles easily when dry.

Moist soil means a condition in which a soil looks and feels damp. Moist cohesive soil can easily be shaped into a ball and rolled into small diameter threads before crumbling. Moist granular soil that contains some cohesive material will exhibit signs of cohesion between particles.

Plastic means a property of a soil which allows the soil to be deformed or molded without cracking, or appreciable volume change.

Saturated soil means a soil in which the voids are filled with water. Saturation does not require flow. Saturation, or near saturation, is necessary for the proper use of instruments such as a pocket penetrometer or shear vane.

Soil classification system means, for this subpart, a method of categorizing soil and rock deposits in a hierarchy of Stable Rock, Type A, Type B, and Type C, in decreasing order of stability. The categories are determined based on an analysis of the properties and performance characteristics of the deposits and the environmental conditions of exposure.

Stable rock means natural solid mineral matter that can be excavated with vertical sides and remain intact while exposed.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type: **Program**

Effective Date: **5/1/2017**

Section: **34**

Revision Number: **01**

Status: **Issued**

Last Revised: **2/28/2018**

Submerged soil means soil which is underwater or is free seeping.

Type A means cohesive soils with an unconfined compressive strength of 1.5 ton per square foot (test) (144 kappa) or greater. Examples of cohesive soils are: clay, silty clay, sandy clay, clay loam and, in some cases, silty clay loam and sandy clay loam. Cemented soils such as caliche and hardpan are also considered

Type A. However, no soil is Type A if:

- (i) The soil is fissured; or
- (ii) The soil is subject to vibration from heavy traffic, pile driving, or similar effects; or
- (iii) The soil has been previously disturbed; or
- (iv) The soil is part of a sloped, layered system where the layer's dip into the excavation on a slope of four horizontal to one vertical (4H:1V) or greater; or
- (v) The material is subject to other factors that would require it to be classified as a less stable material.

Type B means:

- (i) Cohesive soil with an unconfined compressive strength greater than 0.5 test (48 kappa) but less than 1.5 test (144 kappa); or
- (ii) Granular cohesion less soils including: angular gravel (like crushed rock), silt, silt loam, sandy loam and, in some cases, silty clay loam and sandy clay loam.
- (iii) Previously disturbed soils except those which would otherwise be classed as Type C soil.
- (iv) Soil that meets the unconfined compressive strength or cementation requirements for Type A, but is fissured or subject to vibration; or
- (v) Dry rock that is not stable; or
- (vi) Material that is part of a sloped, layered system where the layer's dip into the excavation on a slope less steep than four horizontal to one vertical (4H:1V), but only if the material would otherwise be classified as Type B.

Type C means:

- (i) Cohesive soil with an unconfined compressive strength of 0.5 test (48 kappa) or less; or
- (ii) Granular soils including gravel, sand, and loamy sand; or
- (iii) Submerged soil or soil from which water is freely seeping; or

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type: **Program**

Effective Date: **5/1/2017**

Section: **34**

Revision Number: **01**

Status: **Issued**

Last Revised: **2/28/2018**

- (iv) Submerged rock that is not stable, or
- (v) Material in a sloped, layered system where the layer's dip into the excavation or a slope of four horizontal to one vertical (4H:1V) or steeper.

Unconfined compressive strength means the load per unit area at which a soil will fail in compression. It can be determined by laboratory testing, or estimated in the field using a pocket penetrometer, by thumb penetration tests, and other methods.

Wet soil means soil that contains significantly more moisture than moist soil, but in such a range of values that cohesive material will slump or begin to flow when vibrated. Granular material that would exhibit cohesive properties when moist will lose those cohesive properties when wet.

(c) Requirements.

- (1) Classification of soil and rock deposits. Each soil and rock deposit shall be classified by a competent person as Stable Rock, Type A, Type B, or Type C in accordance with the definitions set forth in paragraph (b) of this appendix.
- (2) Basis of classification. The classification of the deposits shall be made based on the results of at least one visual and at least one manual analysis. Such analyses shall be conducted by a competent person using tests described in paragraph (d) below, or in other recognized methods of soil classification and testing such as those adopted by the American Society for Testing Materials, or the U.S. Department of Agriculture textural classification system.
- (3) Visual and manual analyses. The visual and manual analyses, such as those noted as being acceptable in paragraph (d) of this appendix, shall be designed and conducted to provide sufficient quantitative and qualitative information as may be necessary to identify properly the properties, factors, and conditions affecting the classification of the deposits.
- (4) Layered systems. In a layered system, the system shall be classified in accordance with its weakest layer. However, each layer may be classified individually where a more stable layer lies under a less stable layer.
- (5) Reclassification. If, after classifying a deposit, the properties, factors, or conditions affecting its classification change in any way, the changes shall be evaluated by a competent person. The deposit shall be reclassified as necessary to reflect the changed circumstances.

(d) Acceptable visual and manual tests.

- (1) Visual tests. Visual analysis is conducted to determine qualitative information regarding the excavation site in general, the soil adjacent to the excavation, the soil forming the sides of the open excavation, and the soil taken as samples from excavated material.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018

- (i) Observe samples of soil that are excavated and soil in the sides of the excavation. Estimate the range of particle sizes and the relative amounts of the particle sizes. Soil that is primarily composed of fine-grained material is cohesive material. Soil composed primarily of coarse-grained sand or gravel is granular material.
- (ii) Observe soil as it is excavated. Soil that remains in clumps when excavated is cohesive. Soil that breaks up easily and does not stay in clumps is granular.
- (iii) Observe the side of the opened excavation and the surface area adjacent to the excavation. Crack-like openings such as tension cracks could indicate fissured material. If chunks of soil spall off a vertical side, the soil could be fissured. Small spalls are evidence of moving ground and are indications of potentially hazardous situations.
- (iv) Observe the area adjacent to the excavation and the excavation itself for evidence of existing utility and other underground structures, and to identify previously disturbed soil.
- (v) Observe the opened side of the excavation to identify layered systems. Examine layered systems to identify if the layer's slope toward the excavation. Estimate the degree of slope of the layers.
- (vi) Observe the area adjacent to the excavation and the sides of the opened excavation for evidence of surface water, water seeping from the sides of the excavation, or the location of the level of the water table.
- (vii) Observe the area adjacent to the excavation and the area within the excavation for sources of vibration that may affect the stability of the excavation face.
- (2) Manual tests. Manual analysis of soil samples is conducted to determine quantitative as well as qualitative properties of soil and to provide more information to classify soil properly.
 - (i) Plasticity. Mold a moist or wet sample of soil into a ball and attempt to roll it into threads as thin as 1/8-inch in diameter. Cohesive material can be successfully rolled into threads without crumbling. For example, if at least a two-inch (50 mm) length of 1/8-inch thread can be held on one end without tearing, the soil is cohesive.
 - (ii) Dry strength. If the soil is dry and crumbles on its own or with moderate pressure into individual grains or fine powder, it is granular (any combination of gravel, sand, or silt). If the soil is dry and falls into clumps which break up into smaller clumps, but the smaller clumps can only be broken up with difficulty, it may be clay in any combination with gravel, sand or silt. If the dry soil breaks into clumps which do not break up into small clumps and which can only be broken with difficulty, and there is no visual indication the soil is fissured, the soil may be considered unimproved.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018

- (iii) Thumb penetration. The thumb penetration test can be used to estimate the unconfined compressive strength of cohesive soils. (This test is based on the thumb penetration test described in American Society for Testing and Materials (ASTM) Standard designation D2488 - "Standard Recommended Practice for Description of Soils (Visual - Manual Procedure).") Type A soils with an unconfined compressive strength of 1.5 test can be readily indented by the thumb; however, they can be penetrated by the thumb only with very great effort. Type C soils with an unconfined compressive strength of 0.5 test can be easily penetrated several inches by the thumb, and can be molded by light finger pressure. This test should be conducted on an undisturbed soil sample, such as a large clump of spoil, as soon as practicable after excavation to keep to a minimum the effects of exposure to drying influences. If the excavation is later exposed to wetting influences (rain, flooding), the classification of the soil must be changed accordingly.
- (iv) Other strength tests. Estimates of unconfined compressive strength of soils can also be obtained by use of a pocket penetrometer or by using a hand-operated shear vane.
- (v) Drying test. The basic purpose of the drying test is to differentiate between cohesive material with fissures, uninsured cohesive material, and granular material. The procedure for the drying test involves drying a sample of soil that is approximately one inch thick (2.54 cm) and six inches (15.24 cm) in diameter until it is thoroughly dry:
 - (A) If the sample develops cracks as it dries, significant fissures are indicated.
 - (B) Samples that dry without cracking are to be broken by hand. If considerable force is necessary to break a sample, the soil has significant cohesive material content. The soil can be classified as an uninsured cohesive material and the unconfined compressive strength should be determined.
 - (C) If a sample breaks easily by hand, it is either a fissured cohesive material or a granular material. To distinguish between the two, pulverize the dried clumps of the sample by hand or by stepping on them. If the clumps do not pulverize easily, the material is cohesive with fissures. If they pulverize easily into very small fragments, the material is granular.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018

SLOPING AND BENCHING

(a) Scope and application. This appendix contains specifications for sloping and benching when used as methods of protecting employees working in excavations from cave-ins. The requirements of this appendix apply when the design of sloping and benching protective systems is to be performed in accordance with the requirements set forth in §1926.652(b)(2).

(b) Definitions.

Actual slope means the slope to which an excavation face is excavated.

Distress means that the soil is in a condition where a cave-in is imminent or is likely to occur. Distress is evidenced by such phenomena as the development of fissures in the face of or adjacent to an open excavation; the subsidence of the edge of an excavation; the slumping of material from the face or the bulging or heaving of material from the bottom of an excavation; the spalling of material from the face of an excavation; and raveling, i.e., small amounts of material such as pebbles or little clumps of material suddenly separating from the face of an excavation and trickling or rolling down into the excavation.

Maximum allowable slope means the steepest incline of an excavation face that is acceptable for the most favorable site conditions as protection against cave-ins, and is expressed as the ratio of horizontal distance to vertical rise (H: V).

Short term exposure means a period less than or equal to 24 hours that an excavation is open.

(c) Requirements.

- (1) Soil classification. Soil and rock deposits shall be classified in accordance with appendix A to subpart P of part 1926.
- (2) Maximum allowable slope. The maximum allowable slope for a soil or rock deposit shall be determined from Table 8-1 of this appendix.
- (3) Actual slope.
 - (i) The actual slope shall not be steeper than the maximum allowable slope.
 - (ii) The actual slope shall be less steep than the maximum allowable slope, when there are signs of distress. If that situation occurs, the slope shall be cut back to an actual slope which is at least 1/2 horizontal to one vertical (1/2 H:1V) less steep than the maximum allowable slope.
 - (iii) When surcharge loads from stored material or equipment, operating equipment, or traffic are present, a competent person shall determine the degree to which the actual slope must be reduced below the maximum allowable slope, and shall

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018

assure that such reduction is achieved. Surcharge loads from adjacent structures shall be evaluated in accordance with §1926.651(i).

- (4) Configurations. Configurations of sloping and benching systems shall be in accordance with Figure 8-1.

ALUMINUM HYDRAULIC SHORING FOR TRENCHES

- (a) Scope. This appendix contains information that can be used when aluminum hydraulic shoring is provided as a method of protection against cave-ins in trenches that do not exceed 20 feet (6.1m) in depth. This appendix must be used when design of the aluminum hydraulic protective system cannot be performed in accordance with §1926.652(c)(2).
- (b) Soil Classification. To use data presented in this appendix, the soil type or types in which the excavation is made must first be determined using the soil classification method set forth in appendix A of subpart P of part 1926.
- (c) Presentation of Information. Information is presented in several forms as follows:
- (1) Information is presented in tabular form in Tables D-1.1, D-1.2, D-1.3 and E-1.4. Each table presents the maximum vertical and horizontal spacing's that may be used with various aluminum member sizes and various hydraulic cylinder sizes. Each table contains data only for the soil type in which the excavation or portion of the excavation is made. Tables D-1.1 and D-1.2 are for vertical shores in Types A and B soil. Tables D-1.3 and D1.4 are for horizontal walker systems in Types Band C soil.
 - (2) Information concerning the basis of the tabular data and the limitations of the data is presented in paragraph (d) of this appendix.
 - (3) Information explaining the use of the tabular data is presented in paragraph (e) of this appendix.
 - (4) Information illustrating the use of the tabular data is presented in paragraph (f) of this appendix.
 - (5) Miscellaneous notations (footnotes) regarding Table D-1.1 through D-1.4 are presented in paragraph (g) of this appendix.
 - (6) Figures, illustrating typical installations of hydraulic shoring, are included just prior to the Tables. The illustrations page is entitled "Aluminum Hydraulic Shoring; Typical Installations."
- (d) Basis and limitations of the data.
- (1) Vertical shore rails and horizontal wales are those that meet the Section Modulus requirements in the D-1 Tables. Aluminum material is 6061-T6 or material of equivalent strength and properties.
 - (2) Hydraulic cylinders specifications.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018

- (i) 2-inch cylinders shall be a minimum 2- inch inside diameter with a minimum safe working capacity of no less than 18,000 pounds' axial compressive load at maximum extension. Maximum extension is to include full range of cylinder extensions as recommended by product manufacturer.
- (ii) 3-inch cylinders shall be a minimum 3- inch inside diameter with a safe working capacity of not less than 30,000 pounds' axial compressive load at extensions as recommended by product manufacturer.
- (3) Limitation of application.
 - (i) It is not intended that the aluminum hydraulic specification apply to every situation that may be experienced in the field. These data were developed to apply to the situations that are most commonly experienced in current trenching practice. Shoring systems for use in situations that are not covered by the data in this appendix must be otherwise designed as specified in §1926.652(c).
 - (ii) When any of the following conditions are present, the members specified in the Tables are not considered adequate. In this case, an alternative aluminum hydraulic shoring system or other type of protective system must be designed in accordance with §1926.652.
 - (A) When vertical loads imposed on cross braces exceed a 100-pound gravity load distributed on a one foot section of the center of the hydraulic cylinder.
 - (B) When surcharge loads are present from equipment weighing more than 20,000 pounds.
 - (C) When only the lower portion of a trench is shored and the remaining portion of the trench is sloped or benched unless: The sloped portion is sloped at an angle less steep than three horizontal to one vertical; or the members are selected from the tables for use at a depth which is determined from the top of the overall trench, and not from the toe of the sloped portion.
- (e) Use of Tables 0-1.1, 0-1.2, 0-1.3 and 0-1.4. The members of the shoring system that are to be selected using this information are the hydraulic cylinders, and either the vertical shores or the horizontal wales. When a walker system is used the vertical timber sheeting to be used is also selected from these tables. The Tables D-1.1 and D-1.2 for vertical shores are used in Type A and B soils that do not require sheeting. Type B soils that may require sheeting, and Type C soils that always require sheeting are found in the horizontal wale Tables D-1.3 and D-1.4. The soil type must first be determined in accordance with the soil classification system described in appendix A to subpart P of part 1926. Using the appropriate table, the selection of the size and spacing of the members is made.

The selection is based on the depth and width of the trench where the members are to be installed. In these tables the vertical spacing is held constant at four feet on center. The tables

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018

show the maximum horizontal spacing of cylinders allowed for each size of wale in the walker system tables, and in the vertical shore tables, the hydraulic cylinder horizontal spacing is the same as the vertical shore spacing.

(f) Example to Illustrate the Use of the Tables:

(1) Example 1:

A trench dug in Type A soil is 6 feet deep and 3 feet wide. From Table D-1.1: Find vertical shores and 2-inch diameter cylinders spaced 8 feet on center (orc.) horizontally and 4 feet on center (orc.) vertically. (See Figures 1 & 3 for typical installations.)

(2) Example 2:

A trench is dug in Type B soil that does not require sheeting, 13 feet deep and 5 feet wide. From Table D-1.2: Find vertical shores and 2-inch diameter cylinders spaced 6.5 feet orc. horizontally and 4 feet orc. vertically. (See Figures 1 & 3 for typical installations.)

(3) A trench is dug in Type B soil that does not require sheeting, but does experience some minor raveling of the trench face. The trench is 16 feet deep and 9 feet wide. From Table D-1.2: Find vertical shores and 2-inch diameter cylinder (with special over sleeves as designated by footnote #2) spaced 5.5 feet orc. horizontally and 4 feet orc. vertically, plywood (per footnote (g)(7) to the D-1 Table) should be used behind the shores. (See Figures 2 & 3 for typical installations.)

(4) Example 4: A trench is dug in previously disturbed Type B soil, with characteristics of a Type C soil, and will require sheeting. The trench is 18 feet deep and 12 feet wide. 8-foot horizontal spacing between cylinders is desired for working space. From Table D-1.3: Find horizontal wale with a section modulus of 14.0 spaced at 4 feet orc. vertically and 3-inch diameter cylinder spaced at 9 feet maximum orc. horizontally. 3x12 timber sheeting is required at close spacing vertically. (See Figure 4 for typical installation.)

(5) Example 5: A trench is dug in Type C soil, 9 feet deep and 4 feet wide. Horizontal cylinder spacing more than 6 feet is desired for working space. From Table D-1.4: Find horizontal wale with a section modulus of 7.0 and 2-inch diameter cylinders spaced at 6.5 feet orc. horizontally. Or, find horizontal wale with a 14.0 section modulus and 3-inch diameter cylinder spaced at 10 feet orc. horizontally. Both wales are spaced 4 feet orc. vertically. 3x12 timber sheeting is required at close spacing vertically. (See Figure 4 for typical installation.)

(g) Footnotes, and general notes, for Tables D-1.1, D-1.2, D-1.3, and D-1.4.

(1) For applications, other than those listed in the tables, refer to §1926.652(c)(2) for use of manufacturer's tabulated data. For trench depths, more than 20 feet, refer to §1926.652(c)(2) and §1926.652(c)(3).

(2) 2-inch diameter cylinders, at this width, shall have structural steel tube (3.5x3.5x0.1875) over sleeves, or structural over sleeves of manufacturer's specification, extending the full, collapsed length.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018

- (3) Hydraulic cylinders capacities.
 - (i) 2 inch cylinders shall be a minimum 2- inch inside diameter with a safe working capacity of not less than 18,000 pounds' axial compressive load at maximum extension. Maximum extension is to include full range of cylinder extensions as recommended by product manufacturer.
 - (ii) 3-inch cylinders shall be a minimum 3- inch inside diameter with a safe work capacity of not less than 30,000 pounds' axial compressive load at maximum extension. Maximum extension is to include full range of cylinder extensions as recommended by product manufacturer.
- (4) All spacing indicated is measured center to center.
- (5) Vertical shoring rails shall have a minimum section modulus of 0.40 inch.
- (6) When vertical shores are used, there must be a minimum of three shores spaced equally, horizontally, in a group.
- (7) Plywood shall be 1.125 in. thick softwood or 0.75 inch. thick, 14 ply, arctic white birch (Finland form). Please note that plywood is not intended as a structural member, but only for prevention of local raveling (sloughing of the trench face) between shores.
- (8) See appendix C for timber specifications.
- (9) Wales are calculated for simple span conditions.
- (10) See appendix D, item (d), for basis and limitations of the data.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018

**TABLE B-1
MAXIMUM ALLOWABLE SLOPES**

SOIL OR ROCK TYPE	MAXIMUM ALLOWABLE SLOPES (H:V)(1) FOR EXCAVATIONS LESS THAN 20 FEET DEEP(3)
STABLE ROCK	VERTICAL (90°)
TYPE A (2)	3/4:1 (53°)
TYPE B	1:1 (45°)
TYPE C	1 1/2:1 (34°)

Footnote(1) Numbers shown in parentheses next to maximum allowable slopes are angles expressed in degrees from the horizontal. Angles have been rounded off.

Footnote(2) A short-term maximum allowable slope of 1/2H:1V (63°) is allowed in excavations in Type A soil that are 12 feet (3.67 m) or less in depth. Short-term maximum allowable slopes for excavations greater than 12 feet (3.67 m) in depth shall be 3/4H:1V (53°).

Footnote(3) Sloping or benching for excavations greater than 20 feet deep shall be designed by a registered professional engineer.

Figure B-1

Slope Configurations

(All slopes stated below are in the horizontal to vertical ratio)

B-1.1 Excavations made in Type A soil.

1. All simple slope excavation 20 feet or less in depth shall have a maximum allowable slope of 3/4:1.

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type: **Program**

Effective Date: **5/1/2017**

Section: **34**

Revision Number: **01**

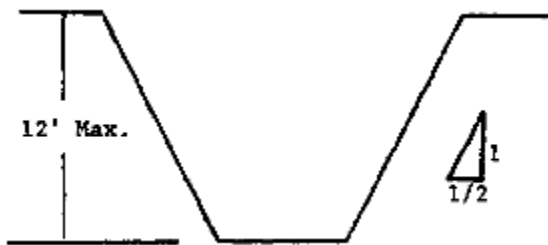
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Last Revised: **2/28/2018**



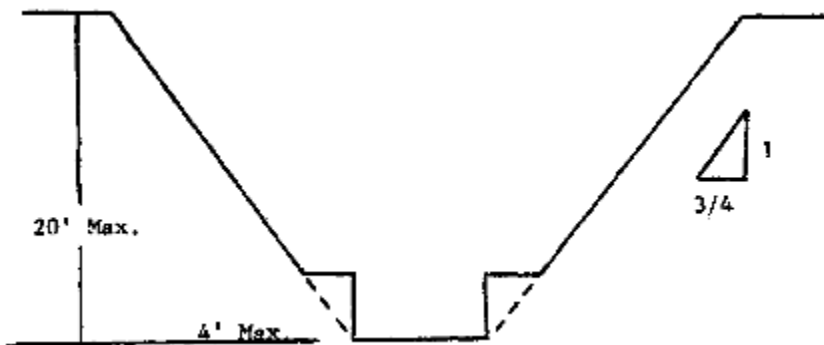
SIMPLE SLOPE -- GENERAL

Exception: Simple slope excavations which are open 24 hours or less (short term) and which are 12 feet or less in depth shall have a maximum allowable slope of 1/2:1.



SIMPLE SLOPE -- SHORT TERM

2. All benched excavations 20 feet or less in depth shall have a maximum allowable slope of 3/4 to 1 and maximum bench dimensions as follows:



SIMPLE BENCH

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type: Program

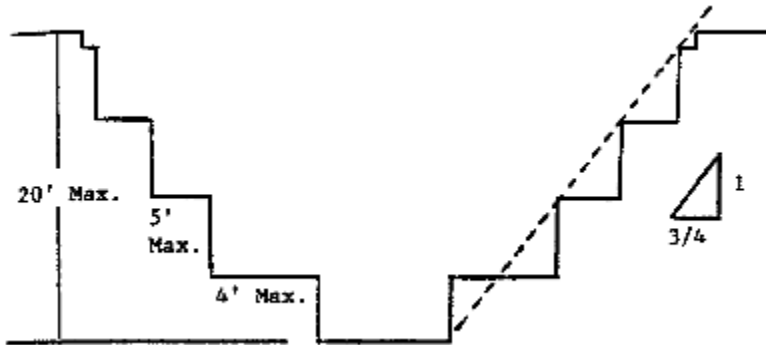
Effective Date: 5/1/2017

Section: 34

Revision Number: 01

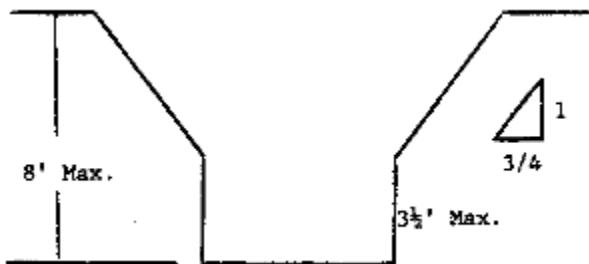
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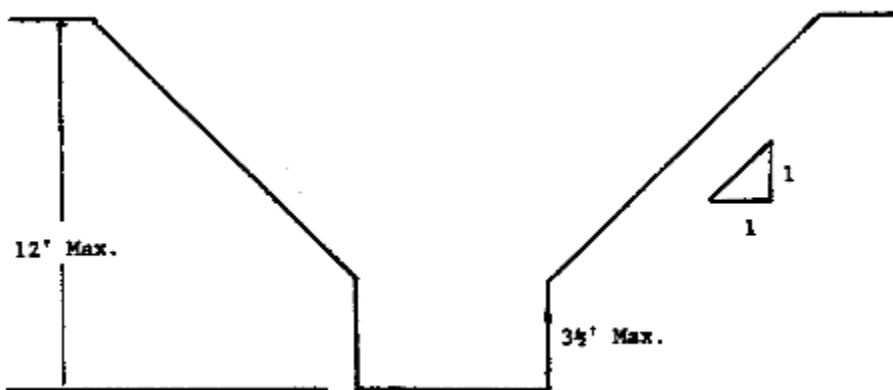
MULTIPLE BENCH

3. All excavations 8 feet or less in depth which have unsupported vertically sided lower portions shall have a maximum vertical side of $3\frac{1}{2}$ feet.



UNSUPPORTED VERTICALLY SIDED LOWER PORTION -- MAXIMUM 8 FEET IN DEPTH)

All excavations more than 8 feet but not more than 12 feet in depth with unsupported vertically sided lower portions shall have a maximum allowable slope of 1:1 and a maximum vertical side of $3\frac{1}{2}$ feet.



UNSUPPORTED VERTICALLY SIDED LOWER PORTION -- MAXIMUM 12 FEET IN DEPTH)

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type: Program

Effective Date: 5/1/2017

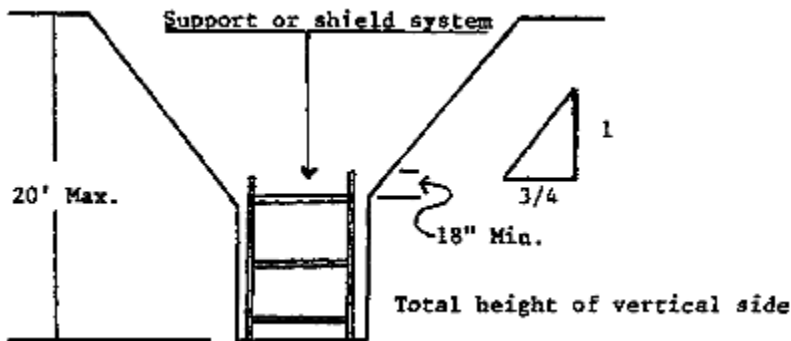
Section: 34

Revision Number: 01

Status: Issued

Last Revised: 2/28/2018

All excavations 20 feet or less in depth which have vertically sided lower portions that are supported or shielded shall have a maximum allowable slope of $\frac{3}{4}$:1. The support or shield system must extend at least 18 inches above the top of the vertical side.

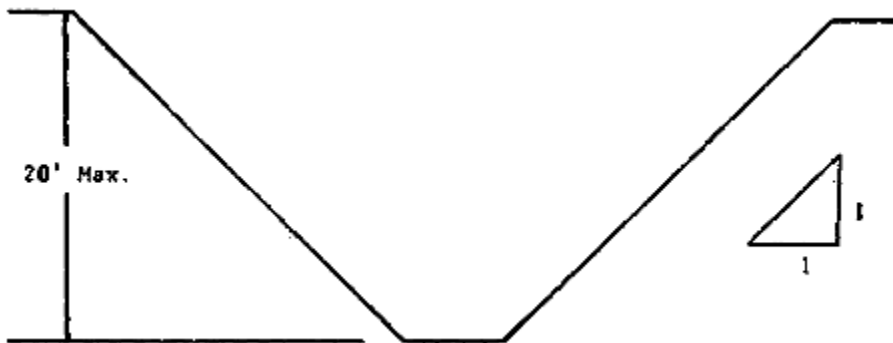


SUPPORTED OR SHIELDED VERTICALLY SIDED LOWER PORTION

4. All other simple slope, compound slope, and vertically sided lower portion excavations shall be in accordance with the other options permitted under § 1926.652(b).

B-1.2 Excavations Made in Type B Soil

1. All simple slope excavations 20 feet or less in depth shall have a maximum allowable slope of 1:1.



SIMPLE SLOPE

2. All benched excavations 20 feet or less in depth shall have a maximum allowable slope of 1:1 and maximum bench dimensions as follows:

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type: Program

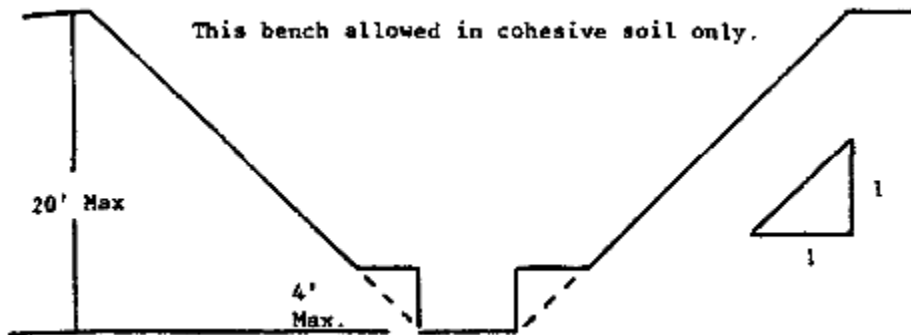
Effective Date: 5/1/2017

Section: 34

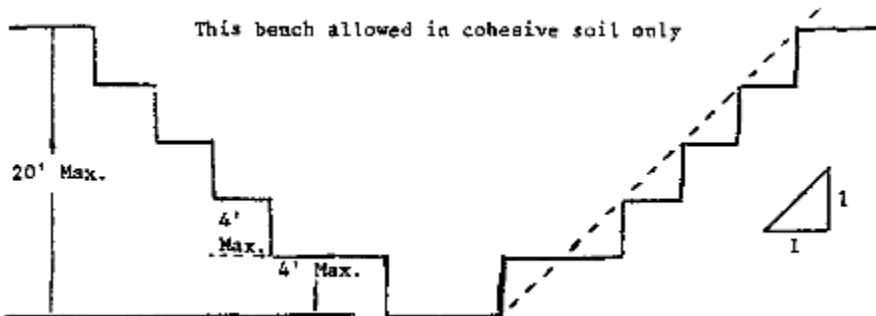
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Status: Issued

Last Revised: 2/28/2018

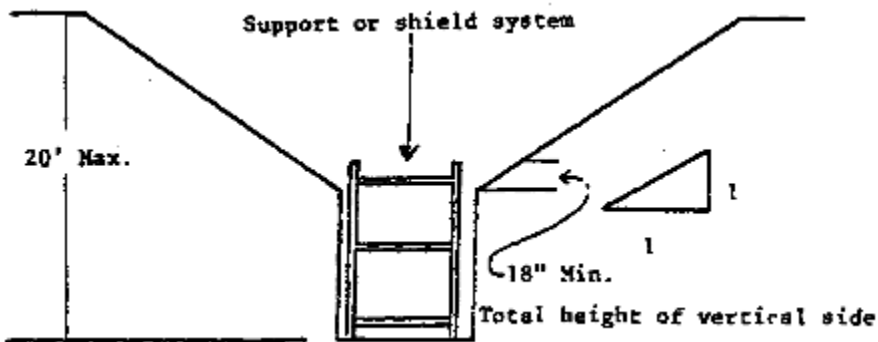


SINGLE BENCH



MULTIPLE BENCH

3. All excavations 20 feet or less in depth which have vertically sided lower portions shall be shielded or supported to a height at least 18 inches above the top of the vertical side. All such excavations shall have a maximum allowable slope of 1:1.



VERTICALLY SIDED LOWER PORTION

TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type: **Program**

Effective Date: **5/1/2017**

Section: **34**

Revision Number: **01**

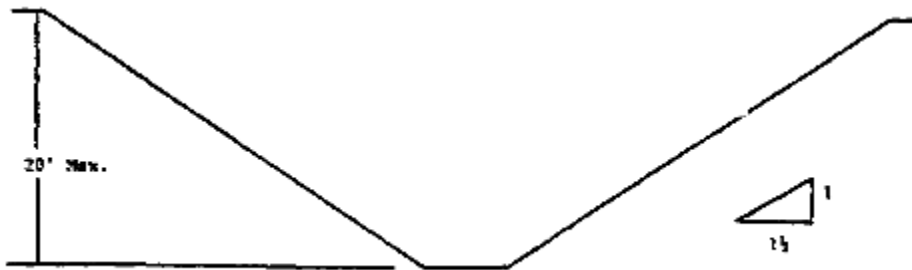
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Last Revised: **2/28/2018**

4. All other sloped excavations shall be in accordance with the other options permitted in § 1926.652(b).

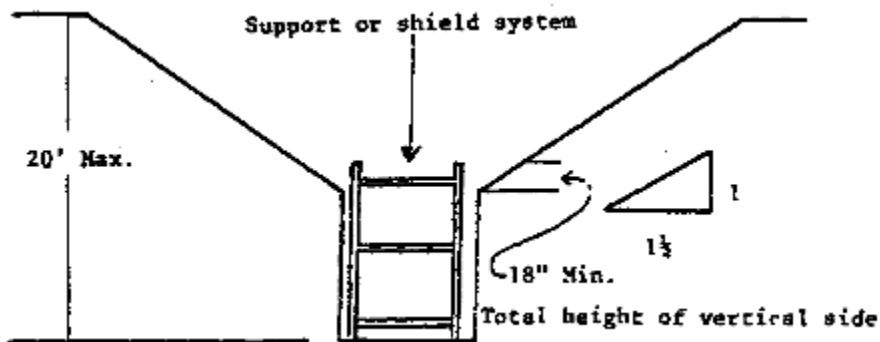
B-1.3 Excavations Made in Type C Soil

1. All simple slope excavations 20 feet or less in depth shall have a maximum allowable slope of 1½:1.



SIMPLE SLOPE

2. All excavations 20 feet or less in depth which have vertically sided lower portions shall be shielded or supported to a height at least 18 inches above the top of the vertical side. All such excavations shall have a maximum allowable slope of 1½:1.



VERTICAL SIDED LOWER PORTION

3. All other sloped excavations shall be in accordance with the other options permitted in § 1926.652(b).

B-1.4 Excavations Made in Layered Soils

1. All excavations 20 feet or less in depth made in layered soils shall have a maximum allowable slope for each layer as set forth below.

TRENCHING, SHORING, AND EXCAVATIONS



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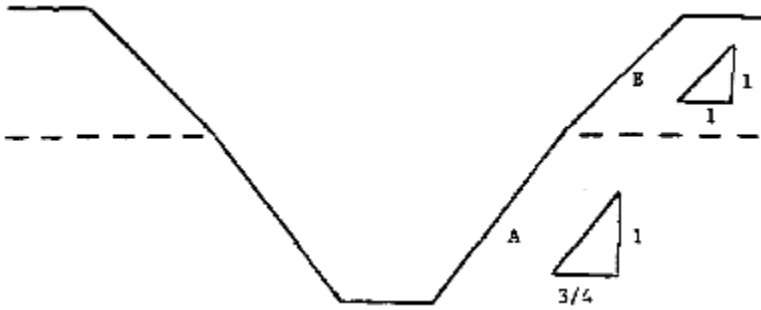
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Section: **34**

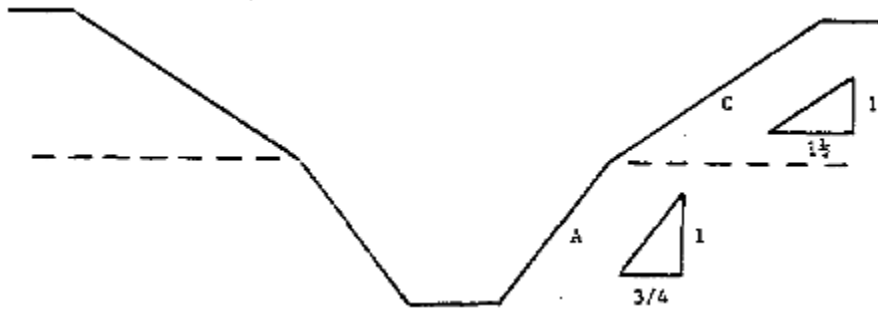
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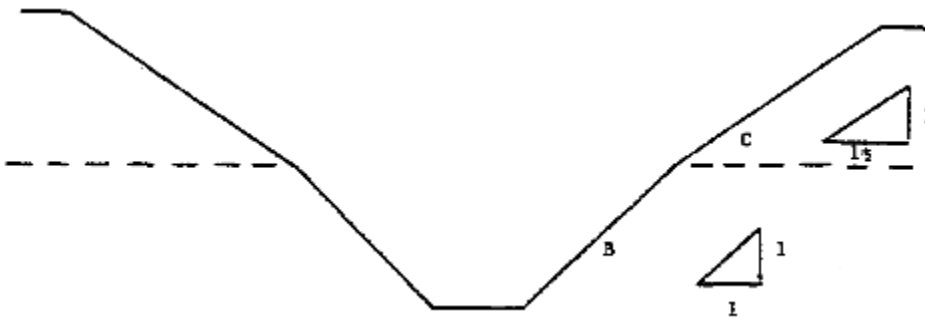
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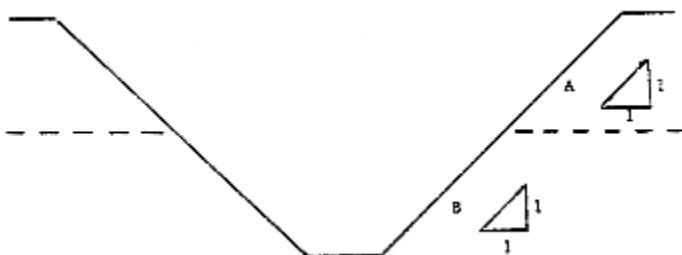
B OVER A



C OVER A



C OVER B

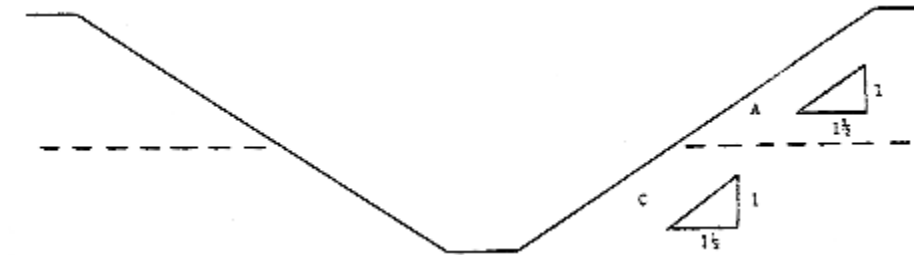


A OVER B

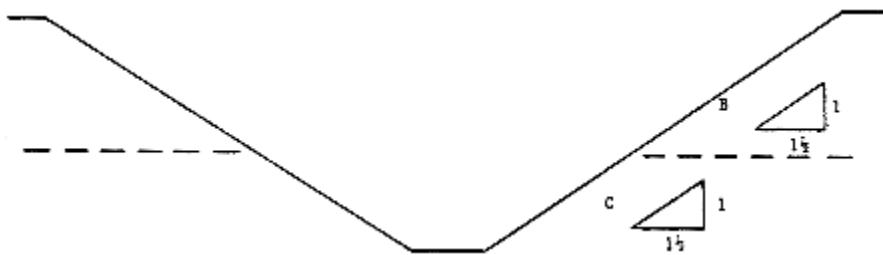
TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type:	Program	Effective Date:	5/1/2017
Section:	34	Revision Number:	01
Status:	Issued	Last Revised:	2/28/2018



A OVER C



B OVER C

2. All other sloped excavations shall be in accordance with the other options permitted in § 1926.652(b).

ALUMINUM HYDRAULIC SHORING TYPICAL INSTALLATIONS

FIGURE NO. 1

VERTICAL ALUMINUM HYDRAULIC SHORING (SPOT BRACING)

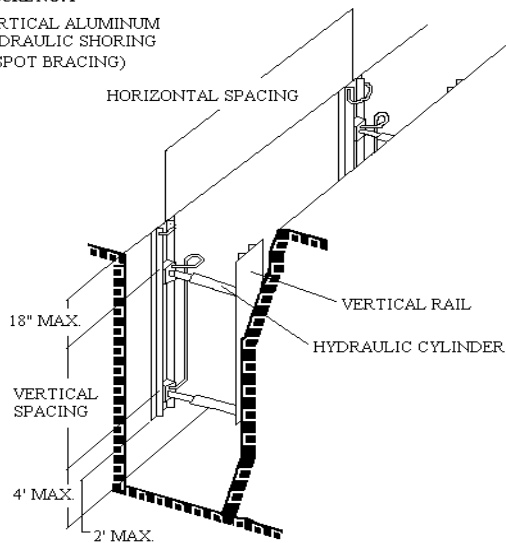
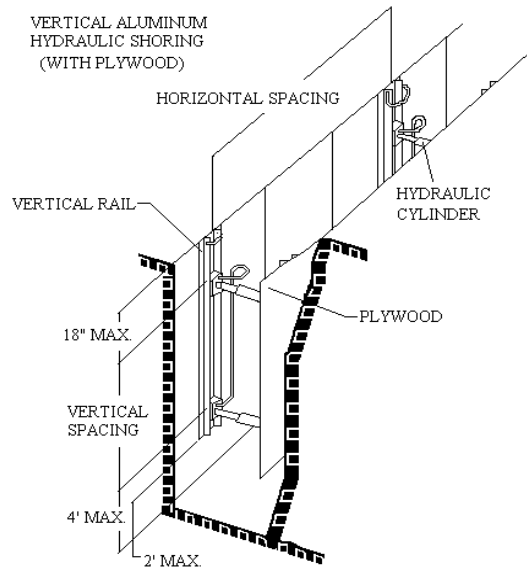


FIGURE NO. 2

VERTICAL ALUMINUM HYDRAULIC SHORING (WITH PLYWOOD)



TRENCHING, SHORING, AND EXCAVATIONS



Doc. Type: **Program**

Effective Date: **5/1/2017**

Section: **34**

Revision Number: **01**

Status: **Issued**

Last Revised: **2/28/2018**

FIGURE NO. 3
VERTICAL ALUMINUM
HYDRAULIC SHORING
(STACKED)

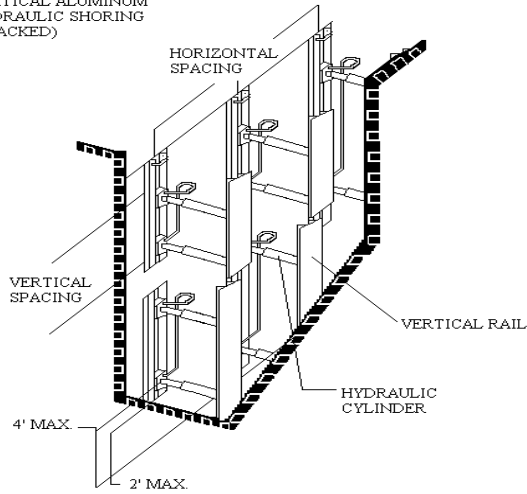


FIGURE NO. 4
ALUMINUM HYDRAULIC SHORING
WALER SYSTEM
(TYPICAL)

